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09/22/00

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Please type a plus sign inside this box ☒

PTO/SB/05 (08/00)

Approved for use through 10/31/2002, OMB 0651-0032

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37.1.53(b))

Attorney Docket No.	04645-004
First Inventor	Zayatz
Title	Protection Device Having A Sleeve And Method Of
Assembling A Battery With	A Protection Device And An Electrical Component
Express Mail Label No.	EK522132639US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

Assistant Commissioner for Patents  
ADDRESS TO: Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See CFR 1.27.
3. ☒ Specification [Total Pages / 19 / ]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets / 4 / ]
5. ☒ Oath or Declaration [Total Pages / 2 / ]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other: check for \$690.00 and for \$40.00

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No: \_\_\_/\_\_\_

Prior application information: Examiner: \_\_\_\_\_

Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

NAME	R. Kent Roberts				
	Hodgson, Russ, Andrews, Woods & Goodyear, LLP				
ADDRESS	One M&T Plaza, Suite 2000				
CITY	Buffalo	STATE	New York	ZIP CODE	14203-2391
COUNTRY	United States of America	TELEPHONE	(716) 856-4000	FAX	(716) 849-0349

"Express Mail" Mailing Label Number EK522132639US

Date of Deposit September 22, 2000

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

R. Kent Roberts

Signature

BFLODOCS:442960

**FEE TRANSMITTAL  
for FY 2000**

Patent Fees are subject to annual revision.

Application Number

Filing Date

9/22/2000

First Named Inventor

Zayatz

Examiner Name

Group/Art Unit

Attorney Docket Number

04645.0664

TOTAL AMOUNT OF PAYMENT

(\$730.

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:**3. ADDITIONAL FEES**Deposit Account Number: 08-2442  
Deposit Account Name:  
Hodgson, Russ, Andrews, Woods & Goodyear, LLPLarge  
Fee  
CodeEntity  
Fee  
(\$)Small  
Fee  
CodeEntity  
Fee  
(\$)

Fee Description

Fee  
Paid☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

105

130

205

65

Surcharge - late filing fee or oath

\$

☐ Applicant claims small entity status. See 37 CFR 1.27.

127

50

227

25

Surcharge - late provisional filing fee or cover sheet

\$

2. ☒ Payment Enclosed:☒ Check ☐ Credit Card ☐ Money Order ☐ Other

139

130

139

130

Non-English specification

\$

**FEE CALCULATION**

147

2,520

147

2,520

For filing a request for reexamination

\$

**1. FILING FEE**

Large Entity Small Entity

Fee  
Code (\$)Fee  
Code (\$)Fee  
Code (\$)Fee  
Code (\$)

Fee Description

Fee  
Paid

112

920\*

112

920\*

Requesting Publication of SIR prior to Examiner Action

\$

690

201

345

Utility filing fee

\$690

115

110

215

55

Extension for reply within first month

\$

310

206

155

Design filing fee

\$

116

380

216

190

Extension for reply within second month

\$

480

207

240

Plant filing fee

\$

117

870

217

435

Extension for reply within third month

\$

690

208

345

Reissue filing fee

\$

118

1,360

218

680

Extension for reply within fourth month

\$

150

214

75

Provisional filing fee

\$

128

1,850

228

925

Extension for reply within fifth month

\$

**SUBTOTAL (1)**

\$690

119

300

219

150

Notice of Appeal

\$

**2. EXTRA CLAIM FEES**Extra Fee from  
Claims belowFee  
Paid

120

300

220

150

Filing a brief in support of an appeal

\$

Total Claims

/20/ -20\*\* = /0 / x /18 / =

\$0

121

260

221

130

Request for oral hearing

\$

Independent Claims

/3/ - 3\*\* = /0 / x /78 / =

\$0

138

1,510

138

1,510

Petition to institute a public use proceeding

\$

Multiple dependent

/ / x / / =

\$

140

110

240

55

Petition to revive - unavoidable

\$

Large Entity Small Entity

141

1,210

241

605

Petition to revive - unintentional

\$

Fee  
Code (\$)Fee  
Code (\$)Fee  
Code (\$)Fee  
Code (\$)

Fee Description

142

1,210

242

605

10 advance copies  
Utility issue fee (or reissue)

\$

103

18

203

9

Claims in excess of 20

143

430

243

215

Design issue fee

\$

102

78

202

39

Independent claims in excess of 3

144

580

244

290

Plant issue fee

\$

104

260

204

130

Multiple dependent claim if not paid

122

130

122

130

Petitions to the Commissioner

\$

109

78

209

39

\*\*Reissue independent claims over original patent

123

50

123

50

Petitions related to provisional applications

\$

110

18

210

9

\*\*Reissue claims in excess of 20 and over original patent

126

240

126

240

Submission of Information Disclosure Statement

\$

**SUBTOTAL (2)**

\$0.

581

40

581

40

Recording each patent assignment per property (times number of properties)

\$40

SIGNATURE:

*R. Kent Roberts*

146

690

246

345

Filing a submission after final rejection(37 CFR 1.129(a))

\$

R. Kent Roberts

Reg. No. 40,786

149

690

249

345

For each additional invention to be examined (37 CFR 1.129(b))

\$

DATE: 9/22/2000

Telephone: (716) 848-1510

\*Reduced by basic filing fee paid

**SUBTOTAL (3)**

\$40

Express Mail® Mailing Label Number EK522132639US

Date of Deposit September 22, 2000

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R. Kent Roberts

Name

Signature

BFLODOCS:442982

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

10/03/2000 DEUTLER 00000028 09667983

01 FC:101

690.00 DP

PTO-1556  
(5/87)

\*U.S. GPO: 1999-459-082/19144